



MONTHLY OPERATIONS REPORT

March 2026, with 2025 – 2026 Year-To-Date Comparison

INVESTIGATIONS	2026 Monthly Count March 2026	2026 Prior Month Count February 2026	2025 Monthly Count March 2025	2026 Year-to-Date Jan 1– Mar 31 2026	2025 Year-to-Date Jan 1–Mar 31 2025
Number of tips, complaints, and reports received	15	9	25	30	57
Number of 15-day letters ¹ sent	5	5	1	11	5
Number of investigations opened	3	5	1	9	5
Number of continued matters closed	0	0	0	3	1
Number of COELIG matters closed	22	5	1	48	16
Number of guidance letters authorized	3	0	0	4	0
Number of matters pending	173	182	204	173	204
Number of open investigations	54	52	55	54	55

¹ Formally known as Notice[s] of Alleged Violation(s), per Exec. L. § 94(10)(f), or Notice[s] of Allegations, per 19 NYCRR Section 941.3(a).

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ETHICS	2026 Monthly Count March 2026	2026 Prior Month Count February 2026	2025 Monthly Count March 2025	2026 Year-to-Date Jan 1– Mar 31 2026	2025 Year-to-Date Jan 1– Mar 31 2025
Total Requests for Guidance	137	139	127	419	407
Post-Employment	32	34	35	97	86
Gifts	12	9	4	30	21
Outside Activity	35	33	40	116	157
Reimbursement for Official Activity	3	4	1	8	10
Conflict of Interest	19	25	26	62	60
Miscellaneous	36	34	21	106	73
Publications & Eblasts	0	0	0	0	0

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LOBBYING	2026 Monthly Count March 2026	2026 Prior Month Count February 2026	2025 Monthly Count March 2025	2026 Year-to-Date Jan 1– Mar 31 2026	2025 Year-to-Date Jan 1– Mar 31 2025
Number of Individual & Principal Lobbyists²	8,564	8,230	7,451	8,564	7,451
Number of Clients³	3,993	3,854	3,895	3,993	2,895
Number of Public Corps⁴	15	15	15	15	15
Number of submitted Registrations/ Reg Amendments	1,576	836	1,765	4,949	11,491
Number of submitted Bi-Monthly Reports/Bi-Mo Amendments	5,796	170	5,615	10,353	11,015
Number of Submitted Client Semi-Annual Reports/CSA Amendments	347	409	340	4,778	5,490
Lobbying Inquiries	4,134	3,483	2,988	12,567	10,311
Legal	20	5	29	40	80
Fling Staff	4,114	3,478	2,959	12,527	10,231
Publications & Eblasts	2	0	1	4	4

² The Monthly Count figures reported for March 2026 and February 2026 represent cumulative year-to-date totals for those respective months.

³ See fn. 1.

⁴ See fn. 1.

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FINANCIAL DISCLOSURE	2026 Monthly Count March 2026	2026 Prior Month Count February 2026	2025 Monthly Count March 2025	2026 Year-to-Date Jan 1– Mar 31 2026	2025 Year-to-Date Jan 1– Mar 31 2025
Filings Submitted	703	243	1,116	1,857	1,522
Failure to File Notices Issued	7	329	0	336	327
Filings Outstanding⁵	5 ⁶	113	1	5	2
Delinquency Notices Issued⁷	144	0	0	154	72
Delinquency Notices Outstanding⁸	47	4	32	47	32
Number of Exemption and Deletion Requests	16	0	9	26	13

⁵ On March 24, 2026, the FDS Unit issued 4 Failure-to-File notices to filers who failed to file within 30 days of commencing a qualifying appointment. As of April 9, 2026, 2 of these remain outstanding. Staff has begun outreach. On March 31, 2026, the FDS Unit issued 3 Failure-to-File notices for the 2025 filing to 3 Candidates for Statewide elected office required to file within 10 days of receiving party nomination from the state committee. As of April 9, 2026, 3 of these remain outstanding.

⁶ This figure reflects administrative adjustments for separations from service and agency designation corrections.

⁷ There were 144 Notices of Delinquency issued in March.

⁸ 2024 FDS filing year - a total of 47 Notices of Delinquency remain outstanding of 154 issued in calendar year 2026.

2024 FDS filing year - a total of 16 Notices of Delinquency remain outstanding of 96 issued in calendar year 2025.

2023 FDS filing year - a total of 15 Notices of Delinquency remain outstanding of 241 issued in calendar years 2024 and 2025. Of the remaining total, 3 of the NODs were issued in 2024 and 12 in 2025.

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TRAINING	2026 Monthly Count March 2026	2026 Prior Month Count February 2026	2025 Monthly Count March 2025	2026 Year-to-Date Jan 1–Mar 31 2026	2025 Year-to-Date Jan 1–Mar 31 2025
Ethics Trainings Held	15	11	12	40	38
Number of employees who received credit for completing COELIG ethics training	1,885	1,202	2,410	6,057	6,749
Number of agency-CETC sessions conducted⁹	117	523	143	1,120	1,304
Number of employees who received LIVE CETC agency training	4,396	8,990	10,359	22,372	24,959
Number of employees who completed On-Demand SLMS and non-SLMS Ethics Training	4,415 (SLMS)	3,480 (SLMS)	828 (SLMS)	7,994 (SLMS)	4,075 (SLMS)
	542 (non-SLMS)	751 (non-SLMS)	978 (non-SLMS)	3,122 (non-SLMS)	4,496 (non-SLMS)
	712 (MP4)	452 (MP4)	--	1,663 (MP4)	--
	Total: 5,669	Total: 4,683	Total: 1,806	Total: 12,779	Total: 8,571
Combined total of workforce members who completed training (LIVE + On-Demand)	11,950	14,875	14,575	41,208	40,279
Number of individuals who completed lobbying ethics training¹⁰	1,083	956	329	3,070	974
Lobbyists	--	--	--	--	--
Clients	--	--	--	--	--
Lobbyists-Clients	--	--	--	--	--

⁹ Figures reported as of April 10, 2026; numbers will be updated to reflect agency reports received after the reporting date.

¹⁰ Individual training counts as of 2025-2026: 9,227 (Lobbyists: 780, Clients: 2,749, Client-Lobbyists:5,698)

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AUDIT AND REVIEW	2026 Monthly Count March 2026	2026 Prior Month Count February 2026	2025 Monthly Count March 2025	2026 Year-to-Date Jan 1–Mar 31 2026	2025 Year-to-Date Jan 1–Mar 31 2025
Random Audits of Lobbying Filings Completed	79	86	74	224	148
Lobbying Filing Random Audit Findings/Recommendations	106	74	--	387	--
Individual Amended Lobbying Filings Submitted pursuant to Audit	35	17	--	82	--
Random FDS Reviews Completed	0	0	150	0	373
Requests for Additional Information Letters (RAILs) sent after Random Review	0	0	79	0	204
Targeted FDS Reviews Completed	114	102	3	306	4
RAILs sent after Targeted Review	53	44	5	127	7

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COMMUNICATIONS	2026 Monthly Count March 2026	2026 Prior Month Count February 2026	2025 Monthly Count March 2025	2026 Year-to-Date Jan 1–Mar 31 2026	2025 Year-to-Date Jan 1–Mar 31 2025
Record Access Requests	14	10	14	59	42
Records Provided¹¹ and Total Number of Pages	11 / 500	10 / 1,851	11 / 418	57 / 4,129	31 / 2,532
Record Requests Pending¹²	6	7	18	--	--
Social Media Analytics					
Posts	129	94	148	368	463
Followers	956	978	785	956	785
Impressions	2,584	1,427	2,787	6,354	9,185
Website Users	28,950	20,159	34,958	76,101	101,719
Inquiries & Contacts					
Media/Stakeholder	9	14	17	38	41
Legislative	9	24	10	47	33
Eblasts/Newsletters	4	1	2	7	6
Proactive Media Outreach¹³	4	3	3	9	18

¹¹ “Records Provided” in response to a single records request are reported here as one record provided, without regard to the number of documents or other information provided in response to that request.

¹² As of April 14, 2026.

¹³ News releases, interviews, advisories, op-eds, etc.