



MONTHLY OPERATIONS REPORT

October 2023, with 2022 – 2023 Year-To-Date Comparison

INVESTIGATIONS	2023 MONTHLY COUNT October 2023	2023 PRIOR MONTH COUNT September 2023	2022 MONTHLY COUNT October 2022	2023 YEAR-TO-DATE Jan 1 – Oct 31 2023	2022 YEAR-TO-DATE JCOPE and COELIG Jan 1– Oct 31 2022
Number of tips, complaints, and reports received	7	8	13	140	128
Number of 15-day letters sent	3	0	0	10	3
Number of investigations opened	3	0	0	10	2
Number of matters closed	33	0	40	89	94
Number of guidance letters sent	5	0	0	5	0
Number of matters pending	See 2023 YTD	See 2023 YTD	See YTD	151	111
Number of open investigations	See 2023 YTD	See 2023 YTD	See YTD	44	32

ETHICS	2023 MONTHLY COUNT October 2023	2023 PRIOR MONTH COUNT September 2023	2022 MONTHLY COUNT October 2022	2023 YEAR-TO-DATE Jan 1 – Oct 31 2023	2022 YEAR-TO-DATE JCOPE and COELIG Jan 1- Oct 31 2022
Total Requests for Guidance	56	41	84	640	723
Post-Employment	13	14	40	165	230
Gifts	2	0	4	31	41
Outside Activity	24	10	14	216	222
Reimbursement for Official Activity	1	1	3	20	23
Conflict of Interest	3	5	4	54	72
Miscellaneous	13	11	19	138	133
Publications & Eblasts	0	0	0	0	1

LOBBYING	2023 MONTHLY COUNT October 2023	2023 PRIOR MONTH COUNT September 2023	2022 MONTHLY COUNT October 2022	2023 YEAR-TO-DATE Jan 1 - Oct 31 2023	2022 YEAR-TO-DATE JCOPE and COELIG Jan 1- Oct 31 2022
Number of Individual & Principal Lobbyists	See 2023 YTD	See 2023 YTD	See 2022 YTD	7,653	8,177
Number of Clients	See 2023 YTD	See 2023 YTD	See 2022 YTD	3,989	4,148
Number of Public Corps	See 2023 YTD	See 2023	See 2022 YTD	52	69
Lobbying Inquiries	3,252	1,837	2,943	32,326	25,587
Publications & Eblasts	2	1	0	10	13

FDS	2023 MONTHLY COUNT October 2023	2023 PRIOR MONTH COUNT September 2023	2022 MONTHLY COUNT October 2022	2023 YEAR-TO-DATE Jan 1 - Oct 31 2023	2022 YEAR-TO-DATE JCOPE and COELIG Jan 1- Oct 31 2022
Number of Filings Submitted	2,105	524	2,013	28,446	29,333
Failure to File Notices Issued	0	294	320	5,461	5,201
Notices of Delinquency Issued	0	0	30	47	125
Number of Exemption and Deletion Requests	86	26	69	286	366

TRAINING	2023 MONTHLY COUNT October 2023	2023 PRIOR MONTH COUNT September 2023	2022 MONTHLY COUNT October 2022	2023 YEAR-TO-DATE Jan 1 – Oct 31 2023	2022 YEAR-TO-DATE JCOPE and COELIG Jan 1- Oct 31 2022
Ethics Trainings Held	3	2	--	21	15
Number of officers/employees who received credit for completing COELIG ethics training	206	130	--	1,154	732
Number of officers/employees overdue for ethics training	--	--	See 2022 YTD	--	1,896
Number of workforce members who received agency training	6,808 ¹	8,588	--	99,473 ²	--
Number of individuals who completed lobbying training	152	176	39	8,423	610

¹ The monthly and YTD figures represent the number of NYS employees reported to have completed training with their agency as of 11/15/2023.

² The YTD figure represents the number of NYS employees reported to have completed training with their agency after 7/7/2022 (JCOPE to COELIG transition).

AUDIT AND REVIEW	2023 MONTHLY COUNT September 2023	2023 PRIOR MONTH COUNT September 2023	2022 MONTHLY COUNT October 2022	2023 YEAR-TO-DATE Jan 1 - Oct 31 2023	2022 YEAR-TO-DATE JCOPE and COELIG Jan 1- Oct 31 2022
Number of Random Audits of lobbying filings completed	68	16	76	561	618
Number of Random FDS reviews completed	172	147	0	645	780
Requests for Additional Information Letters (RAILs) sent after Random Review	53	40	0	221	271
Number of Targeted FDS reviews completed	0	0	152	357	429
RAILs sent after Targeted Review	0	3	64	98	86

PUBLIC INFORMATION OFFICE	2023 MONTHLY COUNT October 2023	2023 PRIOR MONTH COUNT September 2023	2022 MONTHLY COUNT October 2022	2023 YEAR-TO-DATE Jan 1 - Oct 31 2023	2022 YEAR-TO-DATE JCOPE and COELIG Jan 1- Oct 31 2022
Record Access Requests	13	14	14	197	167
Records Provided³	12	19	12	176	162
Record Requests Pending	9 (as of 10/3/23—0 past due)	9 (as of 11/6/23 - 0 past due)	--		--

³ Please note that “Records Provided” in response to a single records request are reported here as one record provided, without regard to the quantity of documents or other records provided in response to that request.