

**COMMISSION ON ETHICS AND  
LOBBYING IN GOVERNMENT**

**Resolution 2022-04**

**RESOLUTION FOR THE ESTABLISHMENT  
OF PERMANENT COMMITTEES**

**WHEREAS**, the Commission on Ethics in Lobbying and Government (“Commission”) was created by an act of the New York State Legislature which, upon the Governor’s approval, became effective on July 8, 2022 as Public Officers Law §94 (Laws 2022, chapter 56); and

**WHEREAS**, among other things, the creation of the Commission was to promote integrity and transparency in the operations of the Executive and Legislative branches of the New York State Government; and

**WHEREAS**, the Commission finds that in order to efficiently and effectively meet its mandate and its perform its statutory duty to oversee its operations and procedures of the Commission as well as those of its various divisions, promulgate rules and regulations for lobbying, establish education and training programs, fully and fairly investigate and enforce alleged violations of the law and its rules and regulations, and interface with the Commission staff, the creation of various committees is necessary and appropriate.

**NOW THEREFORE, BE IT RESOLVED**, the following committees and subcommittees designated by an asterisk be created under the terms, conditions and areas of responsibility herein set forth:

1. Administration
  - a. Personnel matters (including staffing plan and oversight)
  - b. Budget\*
  - c. Annual Report
  
2. Ethics
  - a. Financial Disclosure Statements\*
  - b. Advice and Guidance\*
  
3. Lobbying
  
4. Legal
  - a. Investigation and Enforcement\*
  - b. Litigation (pending and anticipated)\*

5. Communications

- a. Website
- b. Public Relations
- c. Formulate policy regarding public and press statements by the Chair, Vice Chair, Commissioners, Executive Director, Senior Staff and all other staff members

6. Education/Training; and it is further,

**RESOLVED**, that the creation and implementation of the foregoing committees and subcommittees shall be accomplished in accordance with the following guidelines:

1. The membership of each committee herein shall be determined by the Chair in consultation with the Vice Chair and Executive Director. In making such appointments, the Chair shall consider each Commissioner's expressed preference, experience, and background.
2. Chairs of committees shall be designated by the Chair.
3. Chairs and members of the subcommittees shall be determined by the committee.
4. Each committee may, if it so determines, create additional subcommittees to more efficiently address matters within its jurisdiction.
5. Committees and subcommittees shall meet at the request of the Chair, Executive Director or the committee chair.
6. Reports of each committee, including subcommittees, if any, shall be made at each meeting of the Commission.
7. The jurisdiction of each committee shall include monitoring of the hiring process for positions in the division(s) it oversees and receiving status reports from and conferring with the Executive Director and other staff with respect to that process.
8. In accordance with Executive Law §94(1)(d), and in consultation with the appropriate division heads, each committee is charged with the duty, on a priority and ongoing basis, to review the rules and regulations and advisory opinions of prior commissions falling within that Committee's jurisdiction. Not only should the committee review the regulations and advisory opinions of prior commissions but also, any new regulations that may be adopted by this commission.
9. The Chair and Vice Chair shall serve as *ex officio* members of each committee and, accordingly, shall be entitled to notice of all committee meetings.

10. Nothing herein contained shall be deemed or construed to limit the Chair or Commission from establishing additional permanent or *ad hoc* committees or subcommittees or modifying these Procedural Rules which it determines to be necessary to facilitate its work and promote transparency and the goals of the Commission.

Approved:

Frederick A. Davie, Chair (Interim)  
Leonard B. Austin, Vice-Chair (Interim)  
Michael A. Cardozo  
Claudia L. Edwards  
Nancy G. Groenwegen  
Seymour W. James, Jr.

Dated: October 25, 2022