

**MINUTES OF THE PUBLIC SESSION
OF THE JANUARY 6, 2023
MEETING OF THE COMMISSION ON ETHICS
AND LOBBYING IN GOVERNMENT**

**25 BEAVER STREET, 5TH FLOOR
NEW YORK, NEW YORK 10004**

**540 BROADWAY
ALBANY, NEW YORK 12207**

Interim Chair: Frederick A. Davie (NYC)

Interim Vice-Chair: Leonard B. Austin (NYC)

Members: Dolly Caraballo (NYC)
Michael A. Cardozo (NYC)
Edward D. Carni (NYC)
Claudia L. Edwards (NYC)
Nancy G. Groenwegen (NYC)
Kaylin L. Whittingham (NYC)

Staff: Sanford N. Berland, Executive Director (NYC)
Carol Quinn, Co-Acting General Counsel and Deputy Director of
Lobbying (Webex)
Keith C. St. John, Co-Acting General Counsel and Director of Ethics
(Webex)
Peter Smith, Chief Investigative Officer (Webex)
Marlena Diaz, Assistant Director of Communications (Webex)
Lori Donadio, Principal Investigative Analyst (Webex)
Mariana Cadiz, Deputy Director of Administration (Albany)
Michelle Merola, Confidential Assistant (Albany)

I. CALL TO ORDER

Interim Chair Davie called the Special Meeting of January 6, 2023 to order and welcomed commissioners and staff present in both the Albany and New York City office locations. With a majority of commissioners in attendance, a quorum was present.

Interim Chair Davie reported that the Commission received a letter from “good government groups” to discuss matters on their agendas; it was noted that Commissioner Cardozo is a

liaison to the group, along with Interim Chair Davie; they and other commissioners plan to meet with those and other interested groups in the near future.

Interim Chair Davie was pleased to announce the newly appointed members of the Commission on Ethics and Lobbying in Government, Commissioner Dolly Caraballo and Commissioner Kaylin L. Whittingham. The new commissioners expressed their gratitude and look forward to their service as Commission Members for the State of New York.

Interim Executive Director Berland stated that pursuant to, and in accordance with, provisions of the state's Open Meetings Law, the Special Commission meeting was being held at locations open to the public in Albany and New York City.

II. MOTION TO ENTER INTO EXECUTIVE SESSION PURSUANT TO PUBLIC OFFICERS LAW §§ 105 AND 108 AND EXECUTIVE LAW § 94(11) TO ADDRESS MATTERS CONCERNING EMPLOYMENT OF PERSONNEL, PENDING LITIGATION, AND/OR INVESTIGATIVE AND ENFORCEMENT MATTER THAT IS CONFIDENTIAL PURSUANT TO SECTION 94 OF THE EXECUTIVE LAW*

A motion was made by Commissioner Cardozo, seconded by Commissioner Edwards, to enter into Executive Session, pursuant to Public Officers Law §§ 105 and 108 and Executive Law § 94(11), to address matters concerning employment of personnel, pending litigation, and/or investigative and enforcement matter that is confidential pursuant to section 94 of the Executive Law. The motion carried by unanimous vote.

III. PUBLIC ANNOUNCEMENT OF ACTIONS FROM EXECUTIVE SESSION

Interim Chair Davie reported that a discussion was held during the Executive Session regarding a personnel matter, with deliberations to continue.

A motion was made by Commissioner Cardozo, seconded by Interim Vice-Chair Austin, to authorize the Chair to negotiate and execute an agreement for the rendition of professional services on behalf of the Commission, to assist with a personnel matter. The motion carried by unanimous vote.

IV. MOTION TO ADJOURN THE PUBLIC MEETING*

A motion was made by Commissioner Edwards, seconded by Commissioner Whittingham, to adjourn the public meeting. The motion carried by unanimous vote.