COMMISSION ON ETHICS AND LOBBYING IN GOVERNMENT RESOLUTION 22-02

WHEREAS, the Commission on Ethics and Lobbying in Government (hereinafter "Commission") is authorized and called upon by section 2 of Part QQ of Chapter 56 of the Laws of 2022 at paragraph c of subdivision 1 of section 94 of the Executive Law, which took effect on July 8, 2022, to establish policies to address any pending matters or inquiries affected by Executive Law § 94 and to review such matters and inquiries; and

WHEREAS, section 2 of Part QQ of Chapter 56 of the Laws of 2022 at paragraph e of subdivision 1 of section 94 of the Executive Law, which took effect on July 8, 2022, provides, in pertinent part, that Executive Law § 94 "shall not be deemed to have revoked or rescinded any regulations . . . that were issued by predecessor ethics and lobbying bodies"; and

WHEREAS, section 2 of Part QQ of Chapter 56 of the Laws of 2022 at subdivision 10 of section 94 of the Executive Law, which took effect on July 8, 2022, and 19 NYCRR Part 941 provide procedures, and rules and regulations, respectively, for the handling and disposition of investigative and enforcement matters and inquiries by the Commission, including for the review of same by the Commission;

NOW, THEREFORE, IT IS HEREBY

RESOLVED, that any matter or inquiry that was pending on the effective date of Part QQ of Chapter 56 of the Laws of 2022 ("pending matter or inquiry") shall be continued and prospectively shall be subject to, and shall be handled and pursued by the Commission and Commission staff in accordance with the procedures, and rules and regulations, respectively, set forth in section 2 of Part QQ of Chapter 56 of the Laws of 2022 at subdivision 10 of section 94 of the Executive Law and 19 NYCRR Part 941, including as such provisions may be amended or otherwise modified in the future; and it is

FURTHER RESOLVED, that any "fifteen-day" letters and responses thereto, and subpoenas or other process, requests, appointments, or notices issued or otherwise propounded by the Joint Commission on Public Ethics, the predecessor agency to the Commission established by enactment of

Chapter 399 of the Laws of 2011, or the staff of such agency, shall remain valid and effective.

Approved: Frederick A. Davie

Chair (Interim)

Leonard B. Austin, Vice-Chair (Interim)

Michael A. Cardozo

Edward D. Carni

Claudia L. Edwards

Nancy G. Groenwegen

Seymour W. James, Jr

Dated: October 6, 2022