

NEW YORK STATE COMMISSION ON ETHICS AND LOBBYING IN GOVERNMENT 540 Broadway, Albany NY 12207 LobbyingHelpDesk@ethics.ny.gov

# PUBLIC CORPORATION BI-MONTHLY REPORT

| <b>REPORTING PERIOD</b>                  |          |  |             |  |   |          |
|--|----------|--|-------------|--|---|----------|
| Year                                     |          |  |             |  |   |          |
| Bi-Monthly Period                        |          |  |             |  |   |          |
|  | Jan/Feb  |  | March/April |  | ] | May/June |
|  | July/Aug |  | Sept/Oct    |  | ] | Nov/Dec  |
| Check if amendment                       |          |  |             |  |   |          |
| Amendment effective date of / / / change |          |  |             |  |   |          |

| FOR OFFICE USE ONLY |  |  |  |  |
|---------------------|--|--|--|--|
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|                     |  |  |  |  |
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|                     |  |  |  |  |
|                     |  |  |  |  |
|                     |  |  |  |  |

NOTE: Before submitting a Bi-Monthly Report, you must have a corresponding Public Corporation Statement of Registration on file covering the Bi-Monthly reporting period.

# SECTION I – PUBLIC CORPORATION INFORMATION

Report the Public Corporation name and contact information.

## PUBLIC CORPORATION NAME AND INFORMATION

Also Known As

# LOBBYIST BUSINESS ADDRESS AND CONTACT INFORMATION

| Street         | Street 2 (optional) |                  |         |
|----------------|---------------------|------------------|---------|
| City           | State               | Zip              | Country |
| Business Phone | Ext.                | Additional Phone |         |
| Email Address  | Alt Email           |                  |         |

#### SECTION II – IN-HOUSE LOBBYIST INFORMATION

An 'In-House' Lobbyist is any person who engages in Direct or Grassroots Lobbying on behalf of the Public Corporation. All 'In-House' Lobbyists who engaged in Lobbying Activities during the Bi-Monthly reporting period must be listed; provided, however, if the Public Corporation incurs no Compensation for 'In-House' Lobbyists and only Expenses, then no 'In-House' Lobbyists are required to be listed for the specified reporting period.

Include the Compensation amounts (paid or owed) to each 'In-House' Lobbyist listed; you may indicate \$0. Select the 'Designated Lobbyist' check-box if the person lobbies as a board member, director or officer for the Public Corporation.

# A. IN-HOUSE LOBBYIST INFORMATION Last name Iast name Middle name (optional) Business phone Email address Lobbyist effective date (Enter effective date if the Individual Lobbyist has not been included in a prior Registration for the current biennial.) Check if Designated Lobbyist

| <b>B. IN-HOUSE LOBBYIST INFORMATION</b> |                      |   |
|---|----------------------|---|
| Last name                               | First name           |   |
| Middle name (optional)                  | Suffix (optional)    |   |
| Business phone                          | Ext.                 |   |
| Email address                           |                      |   |
| Lobbyist effective date                 |                      | he Individual Lobbyist has not been<br>stration for the current biennial. ) |
| Check if Designated Lobbyist            | Current Compensation | \$  |

# SECTION II — IN-HOUSE LOBBYIST INFORMATION (CONTINUED)

| C. IN-HOUSE LOBBYIST INFORMATION |  |  |  |
|----------------------------------|--|--|--|
| Last name                        | First name   |  |  |
| Middle name (optional)           | Suffix (optional)  |  |  |
| Business phone                   | Ext.   |  |  |
| Email address                    |  |  |  |
| Lobbyist effective date          | (Enter effective date if the Individual Lobbyist has not been included in a prior Registration for the current biennial. ) |  |  |
| Check if Designated Lobbyist     | Current Compensation \$  |  |  |

| D. IN-HOUSE LOBBYIST INFORMATION |                      |   |
|----------------------------------|----------------------|---|
| Last name                        | First name           |   |
|                                  |                      |   |
| Middle name (optional)           | Suffix (optional)    |   |
| Business phone                   | Ext.                 |   |
| Email address                    |                      |   |
| Lobbyist effective date          |                      | he Individual Lobbyist has not been<br>stration for the current biennial. ) |
| Check if Designated Lobbyist     | Current Compensation | \$  |

| E. IN-HOUSE LOBBYIST INFORMATION |  |
|----------------------------------|--|
| Last name                        | First name   |
|                                  |  |
| Middle name (optional)           | Suffix (optional)  |
| Business phone                   | Ext.   |
| Email address                    |  |
| Lobbyist effective date          | (Enter effective date if the Individual Lobbyist has not been included in a prior Registration for the current biennial. ) |
| Check if Designated Lobbyist     | Current Compensation \$  |

### SECTION III - RETAINED LOBBYIST INFORMATION (IF APPLICABLE)

If applicable, report the name and contact information of each Retained Lobbyist (the individual or organization who is retained to perform Lobbying Activities on behalf of the Public Corporation). Include the Compensation amounts (paid or owed) to each Retained Lobbyist listed for the specified reporting period; you may indicate \$0.

#### A. RETAINED LOBBYIST INFORMATION (If applicable)

| Name                        |               |         |    |
|-----------------------------|---------------|---------|----|
| Business Address            |               |         |    |
| City                        | State         | Zip cod | le |
| Business phone              | Email address |         |    |
| Current Period Compensation | \$            |         |    |

# B. RETAINED LOBBYIST INFORMATION (If applicable)

| Name                        |               |          |
|-----------------------------|---------------|----------|
| Business Address            |               |          |
| City                        | State         | Zip code |
| Business phone              | Email address |          |
| Current Period Compensation |               |          |

#### SECTION IV - EXPENSES

An expense is any cost of Lobbying Activity that is not Compensation paid to a Lobbyist and that is incurred in connection with a Lobbying Activity.

| OTHER LOBBYING EXPENSES (CURRENT PERIOD ONLY)                               |    |  |  |  |
|---|----|--|--|--|
| Report in the aggregate all expenses less than or equal to \$75             | \$ |  |  |  |
| Report in the aggregate all expenses for salaries of non-lobbying employees | \$ |  |  |  |

#### SECTION V(a) — INDIVIDUAL OR ORGANIZATION ITEMIZED EXPENSES EXCEEDING \$75

You can indicate there are 'No Itemized Expenses to Report' – OR – Report separate dollar amounts for each Itemized Expense over \$75.

Use Section V(a) to report Individual Itemized Expenses over \$75 paid to an Individual or Organization. Provide 'Last Name, First Name' in the Expense paid to section, as well as the remainder of required information (expense amount, expense purpose, expense date, and whether the expense was reimbursed by your Client).

Use Section V(b) to report Itemized Expenses over \$75 paid to an Organization that requires Expense Detail information (See instructions).

#### **REPORT IN THE AGGREGATE ALL ITEMIZED EXPENSES EXCEEDING \$75**

I have no itemized expenses to report for this period (Skip to section - VI Unstructured Coalition Contributions

| ITEMIZED EXPENSE EXCEEDING \$75 PAID TO AN INDIVIDUAL OR ORGANIZATION                     |  |  |  |  |
|---|--|--|--|--|
| Expense paid to   | Expense Date (MM/DD/YYYY)  |  |  |  |
| Expense amount  |  |  |  |  |
| Expense purpose (check ONE only)  |  |  |  |  |
| <ul> <li>Advertising – Billboards</li> <li>Advertising – Consulting</li> </ul>            | Advocacy – Expenses related to hiring an outside firm to handle            | □ Social Event – Equipment and A/V<br>Rentals  |  |  |
| <ul> <li>Advertising - Design Services for<br/>Media buy</li> </ul>                       | administrative tasks Advocacy – Legislative Bill Tracking                  | <ul> <li>Social Event – Event Sponsorship</li> <li>Social Event – Lodging</li> </ul> |  |  |
| Advertising – Flyers/Posters  | Advocacy – Legislative Research  | Social Event – Parking fees  |  |  |
| Advertising – Media Relations and<br>Strategy   | Advocacy – Mass Mailings/Bulk<br>Mailing                                   | Social Event – Print fees over \$500   |  |  |
| Advertising – Multi-Platform<br>Media Buy (Print, Online, TV,                             | Advocacy – Phone Advocacy  | Social Event – Promotional<br>Materials  |  |  |
| Web)  | Advocacy – Postage and/or<br>Printing over \$500                           | Social Event – Rallies   |  |  |
| Advertising – Newspaper inserts   | _  | Social Event – Reception/Banquet   |  |  |
| Advertising – Print Media/<br>Publishing fees over \$500                                  | <ul> <li>Advocacy – Technology<br/>(cellphones, iPad, hotspots)</li> </ul> | Social Event – Rental Fees   |  |  |
| <ul> <li>Advertising – Social Media</li> <li>Platforms (Facebook, Twitter,</li> </ul>     | Social Event – Booth rentals/<br>Meeting space fees                        | Social Event – Travel<br>Reimbursement (Train, Airfare,<br>Car, Hotel)               |  |  |
| Instagram, etc.)  | Social Event – Buses/transportation<br>for Lobby Day                       | Social Event – Volunteer Training  |  |  |
| <ul> <li>Advertising – Television</li> <li>Advocacy – Civic Engagement/</li> </ul>        | Social Event – Catering/Meals for<br>Lobby Day                             | Social Media – Media Relations<br>and Strategy                                       |  |  |
| Community Organizing <ul> <li>Advocacy – Email marketing/Email</li> <li>blasts</li> </ul> | Social Event – Civic Engagement/<br>Community Organizing                   | Social Media – Online Advocacy<br>(sponsored posts)                                  |  |  |
| UIASIS  | Social Event – Consulting  |  |  |  |

| ITEMIZED EXPENSE EXCEEDING \$75 PAID TO AN INDIVIDUAL OR ORGANIZATION |  |  |   |   |
|---|--|--|---|---|
| Expense paid to Expense Date (MM/DD/YYYY)                             |  |  |   |   |
| Expense amount  |  |  |   |   |
| Expense purpose (check ONE only)                                      |  |  |   |   |
| Advertising – Billboards  |  | Advocacy – Expenses related to                           |   | Social Event – Equipment and A/V                        |
| Advertising – Consulting  |  | hiring an outside firm to handle<br>administrative tasks | _ | Rentals   |
| Advertising – Design Services for                                     |  | Advocacy – Legislative Bill                              |   | Social Event – Event Sponsorship                        |
| Media buy   |  | Tracking   |   | Social Event – Lodging                                  |
| Advertising – Flyers/Posters  |  | Advocacy – Legislative Research                          |   | Social Event – Parking fees                             |
| ☐ Advertising – Media Relations and                                   |  | Advocacy – Mass Mailings/Bulk                            |   | Social Event – Print fees over \$500                    |
| Strategy  |  | Mailing  |   | Social Event – Promotional                              |
| Advertising – Multi-Platform<br>Media Buy (Print, Online, TV,         |  | Advocacy – Phone Advocacy                                |   | Materials   |
| Web)  |  | Advocacy – Postage and/or                                |   | Social Event – Rallies                                  |
| Advertising – Newspaper inserts                                       |  | Printing over \$500                                      |   | Social Event - Reception/Banquet                        |
| Advertising – Print Media/  |  | Advocacy – Technology<br>(cellphones, iPad, hotspots)    |   | Social Event – Rental Fees                              |
| Publishing fees over \$500  |  | Social Event – Booth rentals/                            |   | Social Event – Travel<br>Reimbursement (Train, Airfare, |
| Advertising – Social Media<br>Platforms (Facebook, Twitter,           |  | Meeting space fees                                       |   | Car, Hotel)   |
| Instagram, etc.)  |  | Social Event – Buses/transportation<br>for Lobby Day     |   | Social Event – Volunteer Training                       |
| Advertising – Television  |  | Social Event - Catering/Meals for                        |   | Social Media – Media Relations                          |
| Advocacy – Civic Engagement/<br>Community Organizing                  |  | Lobby Day  | _ | and Strategy  |
| Advocacy – Email marketing/Email                                      |  | Social Event – Civic Engagement/<br>Community Organizing |   | Social Media – Online Advocacy<br>(sponsored posts)     |
| blasts  |  | Social Event – Consulting                                |   |   |

| ITEMIZED EXPENSE EXCEEDING \$75 PAID TO AN INDIVIDUAL OR ORGANIZATION  |   |  |  |  |  |  |
|--|---|--|--|--|--|--|
| Expense paid to  | Expense paid to Expense Date (MM/DD/YYYY)   |  |  |  |  |  |
| Expense amount   |   |  |  |  |  |  |
| Expense purpose ( <i>check ONE only</i> )  Advertising – Billboards  Advertising – Consulting  Advertising – Design Services for Madia hur | <ul> <li>Advocacy – Expenses related to<br/>hiring an outside firm to handle<br/>administrative tasks</li> <li>Advocacy – Legislative Bill</li> </ul> | <ul> <li>Social Event – Equipment and A/V<br/>Rentals</li> <li>Social Event – Event Sponsorship</li> <li>Social Event – Lodeing</li> </ul> |  |  |  |  |
| Media buy<br>Advertising – Flyers/Posters  | Tracking Advocacy – Legislative Research  | <ul> <li>Social Event – Lodging</li> <li>Social Event – Parking fees</li> </ul>  |  |  |  |  |
| <ul> <li>Advertising – Media Relations and<br/>Strategy</li> <li>Advertising – Multi-Platform</li> </ul>                                   | <ul> <li>Advocacy – Mass Mailings/Bulk<br/>Mailing</li> <li>Advocacy – Phone Advocacy</li> </ul>  | <ul> <li>Social Event – Print fees over \$500</li> <li>Social Event – Promotional<br/>Materials</li> </ul>                                 |  |  |  |  |
| Media Buy (Print, Online, TV,<br>Web) Advertising – Newspaper inserts  | Advocacy – Postage and/or<br>Printing over \$500  | <ul> <li>Social Event – Rallies</li> <li>Social Event – Reception/Banquet</li> </ul>   |  |  |  |  |
| Advertising – Print Media/<br>Publishing fees over \$500   | Advocacy – Technology<br>(cellphones, iPad, hotspots)   | <ul> <li>Social Event – Rental Fees</li> <li>Social Event – Travel</li> </ul>  |  |  |  |  |
| Advertising – Social Media<br>Platforms (Facebook, Twitter,  | <ul> <li>Social Event – Booth rentals/<br/>Meeting space fees</li> <li>Social Event – Busse/termemortation</li> </ul>                                 | Reimbursement (Train, Airfare,<br>Car, Hotel)  |  |  |  |  |
| Instagram, etc.)   | <ul> <li>Social Event – Buses/transportation<br/>for Lobby Day</li> <li>Social Event – Catering/Meals for</li> </ul>                                  | <ul> <li>Social Event – Volunteer Training</li> <li>Social Media – Media Relations</li> </ul>  |  |  |  |  |
| <ul> <li>Advocacy – Civic Engagement/<br/>Community Organizing</li> <li>Advocacy – Email marketing/Email</li> </ul>                        | Lobby Day Social Event – Civic Engagement/ Community Organizing   | and Strategy Social Media – Online Advocacy (sponsored posts)  |  |  |  |  |
| blasts   | Social Event – Consulting   |  |  |  |  |  |

# SECTION V(b) — EXPENSE DETAILS FOR ORGANIZATION ITEMIZED EXPENSES EXCEEDING \$75 (IF APPLICABLE)

Provide the Organization Name in the Expense paid to section, as well as the remainder of required information (expense amount, expense purpose, and expense date).

If applicable, Itemized Expenses paid to an Organization on behalf of an Individual (or Individuals) must include the Expense Detail(s) of the Expense.

NOTE: The TOTAL (Dollar amount) of the Itemized Expense equals the amounts reported in each of the Expense Details (A + B + C). Please see the Bi-monthly Filing Instructions for an example of this disclosure. SECTION V(b) — ORGANIZATION ITEMIZED EXPENSES EXCEEDING \$75 (CONTINUED)

# ORGANIZATION ITEMIZED EXPENSE EXCEEDING \$75 WITH EXPENSE DETAIL INFORMATION

| Expense paid to  |  | <br>Expense Date (MM/D   | D/Y | YYY)  |
|--|--|--|-----|---|
| Expense amount   | \$   |  |     |   |
| Expense purpose (  |  |  |     |   |
| Media buy Advertising - Strategy Advertising - Media Buy (P Web) Advertising - Publishing fee Advertising - Publishing fee Advertising - | Consulting<br>Design Services for<br>Flyers/Posters<br>Media Relations and<br>Multi-Platform<br>rint, Online, TV,<br>Newspaper inserts<br>Print Media/<br>es over \$500<br>Social Media<br>cebook, Twitter,<br>c.) | Advocacy – Expenses related to<br>hiring an outside firm to handle<br>administrative tasks<br>Advocacy – Legislative Bill<br>Tracking<br>Advocacy – Legislative Research<br>Advocacy – Mass Mailings/Bulk<br>Mailing<br>Advocacy – Phone Advocacy<br>Advocacy – Postage and/or<br>Printing over \$500<br>Advocacy – Technology<br>(cellphones, iPad, hotspots)<br>Social Event – Booth rentals/<br>Meeting space fees<br>Social Event – Buses/transportation |     | Social Event - ConsultingSocial Event - Equipment and A/V<br>RentalsSocial Event - Event SponsorshipSocial Event - LodgingSocial Event - Parking feesSocial Event - Print fees over \$500Social Event - Promotional<br>MaterialsSocial Event - Rental FeesSocial Event - Rental FeesSocial Event - Travel<br>Reimbursement (Train, Airfare,<br>Car, Hotel)Social Event - Volunteer Training |
| Community (  | ivic Engagement/<br>Drganizing<br>mail marketing/Email   | for Lobby Day<br>Social Event – Catering/Meals for<br>Lobby Day<br>Social Event – Civic Engagement/<br>Community Organizing  |     | Social Media – Media Relations<br>and Strategy<br>Social Media – Online Advocacy<br>(sponsored posts)   |
|  |  |  |     |   |

# A. EXPENSE DETAIL (IF APPLICABLE)

| Last name                         |                          | First name       |  |  |
|-----------------------------------|--------------------------|------------------|--|--|
| Middle name                       | e (optional)             | Title (optional) |  |  |
| Amount                            | \$                       | Employer         |  |  |
| B. EXPENS                         | E DETAIL (IF APPLICABLE) |                  |  |  |
| Last name                         |                          | First name       |  |  |
| Middle name                       | e (optional)             | Title (optional) |  |  |
| Amount                            | \$                       | Employer         |  |  |
| C. EXPENSE DETAIL (IF APPLICABLE) |                          |                  |  |  |
| Last name                         |                          | First name       |  |  |
| Middle name (optional)            |                          | Title (optional) |  |  |
| Amount                            | \$                       | Employer         |  |  |

## SECTION VI – UNSTRUCTURED COALITION MEMBER CONTRIBUTIONS, IF APPLICABLE

If applicable, report the Coalition name, contribution amount and any incurred expenses given to the Coalition during the Bi-Monthly reporting period. Disclosure of such information is NOT required if the Coalition meets the definition of and files as a Structured Coalition. When calculating the Total Contribution Amount:

- *if 'Yes' was indicated for bullets 2 and 4, the Total Contribution Amount = Contribution Amount + Members portion of the Incurred Expense.*
- If 'No' was indicated for bullet 2, the Total Contribution Amount = Contribution Amount + Total amount of the Expense incurred.

| CC | COALITION MEMBER CONTRIBUTION AMOUNTS (CURRENT PERIOD ONLY)   |         |   |        |          |  |            |                              |
|----|---|---------|---|--------|----------|--|------------|------------------------------|
| Со | Coalition name  |         |   |        |          |  |            |                              |
| 1. | Contribution Amount   |         |   | \$     |          |  |            |                              |
|    | (Includes time, resources and direct monet  | ary c   | ontributions; does NOT include Inc  | curred | Expe     | nses)  |            |                              |
| 2. | Was an Expense incurred on behalf of the 0  | Coali   | tion?   |        | <b>Y</b> | ES   | 🗌 NC       | )                            |
| 3. | Total Amount of the Expense incurred on   | behal   | f of the Coalition  | \$     |          |  |            |                              |
| 4. | Was the Expense paid for using Pooled Fur   | nds?    |   |        | □ Y      | ES   |            | )                            |
| 5. | If pooled funds were used, Member's portio  | on of   | the Incurred Expense  | \$     |          |  |            |                              |
| 6. | Total Contribution Amount   |         |   | \$     |          |  |            |                              |
| 7. | Expense Purpose (Select one only)<br>(Includes Members's contribution and Members' Advertising – Billboards | ber's f | portion of incurred expenses)<br>hiring an outside firm to handle<br>administrative tasks |        |          | Social Eve                                     | ent – Con  | sulting                      |
|    | <ul> <li>Advertising – Consulting</li> <li>Advertising – Design Services for<br/>Media buy</li> </ul>       |         | administrative tasks<br>Advocacy – Legislative Bill<br>Tracking                           |        | _        | A/V Rent                                       | als        | ipment and<br>nt Sponsorship |
|    | <ul> <li>Advertising – Flyers/Posters</li> <li>Advertising – Media Relations<br/>and Strategy</li> </ul>    |         | Advocacy – Legislative Research<br>Advocacy – Mass Mailings/Bulk<br>Mailing               |        | _        | Social Eve<br>Social Eve                       |            |                              |
|    | <ul> <li>Advertising – Multi-Platform</li> <li>Media Buy (Print, Online, TV,</li> <li>Web)</li> </ul>       |         | Advocacy – Phone Advocacy<br>Advocacy – Postage and/or<br>printing over \$500             |        |          | Social Eve<br>\$500<br>Social Eve<br>Materials | ent – Pror | t fees over<br>notional      |
|    | <ul> <li>Advertising – Newspaper inserts</li> <li>Advertising – Print Media/</li> </ul>                     |         | Advocacy - Technology<br>(cellphones, iPad, hotspots)                                     |        | _        | Social Eve                                     | ent – Rall |                              |
|    | Publishing fees over \$500  |         | Compensation Paid to Retained<br>Lobbyist on behalf of an<br>Unstructured Coalition       |        | _        | Social Eve<br>Social Eve                       |            | eption/Banquet<br>tal Fees   |
|    | <ul><li>Platforms (Facebook, Twitter,<br/>Instagram, etc.)</li><li>Advertising – Television</li></ul>       |         | Social Event – Booth rentals/<br>Meeting space fees                                       |        |          | Social Eve<br>Reimburs<br>Car, Hote            | sement (T  | vel<br>'rain, Airfare,       |
|    | <ul> <li>Advocacy – Civic Engagement/<br/>Community Organizing</li> </ul>                                   |         | Social Event – Buses/<br>transportation for Lobby Day                                     |        |          |  |            | inteer Training              |
|    | <ul> <li>Advocacy – Email marketing/<br/>Email blasts</li> </ul>  |         | Social Event – Catering/Meals for<br>Lobby Day  | r      |          | and Strate                                     | egy        | dia Relations                |
|    | Advocacy – Expenses related to  |         | Social Event – Civic Engagement<br>Community Organizing                                   | :/     |          | Social Me<br>(sponsore                         |            | line Advocacy                |

# SECTION VII –LOBBYING SUBJECT(S)

Filers must report any Lobbying Subject(s) they lobbied on during the specified Bi-Monthly period. Please choose from the comprehensive list of 'Lobbying Subjects' listed below. Please check all that apply.

| Yoı | u may choose as many subjects as necessary                               |   |
|-----|--|---|
|     | Agribusiness – Food Processing & Sales                                   | Energy & Natural Resources – Parks & Recreational<br>Activities         |
|     | Agribusiness – General   | Energy & Natural Resources – Waste Management                           |
|     | Agribusiness – Tobacco   |   |
|     | Agribusiness – Agricultural Services & Products                          | Energy & Natural Resources– Alternative Energy<br>Production & Services |
|     | Budget/Appropriations  | Ethics Laws and Regulations   |
|     | Cannabis/Marijuana   | Finance, Insurance & Financial Services – Commercial                    |
|     | Chemicals/Chemical Industry  | Banks & Credit Unions   |
|     | Construction – Building Materials & Equipment                            | Finance, Insurance & Financial Services – Finance & Credit<br>Companies |
|     | Construction – Construction Services                                     | Finance, Insurance & Financial Services – General                       |
|     | Construction – General   | Finance, Insurance & Financial Services – Mortgage Finance              |
|     | Consumer Issues/Safety/Protection  | Finance, Insurance & Financial Services – Securities &                  |
|     | Corrections  | Investment  |
|     | Criminal Justice – Criminal Law & Procedures (includes                   | Gaming – Casinos  |
| _   | sentencing)  | Gaming – General  |
|     | Criminal Justice – General   | Gaming – Horse Racing   |
|     | Criminal Justice – Law Enforcement                                       | Gaming – Lottery  |
|     | Criminal Justice – Police Issues   | Gaming - Recreation & Live Entertainment                                |
|     | Economic Development –General  | Health – Cigarette/ Tobacco   |
|     | Economic Development – Sports/Entertainment                              | Health – General  |
|     | Economic Development - Tax Incentives                                    | Health – Health Professions   |
|     | Economic Development – Tourism   | Health – Health Services / HMOs   |
|     | Education – Charter Schools  | Health – Hospitals & Nursing Homes                                      |
|     | Education – Evaluations  | Health – Medicine/ Medicaid   |
|     | Education – Funding  | Health – Pharmaceuticals/ Health Products                               |
|     | Education – General  | Human Rights/Civil Rights   |
|     | Education – Testing  | Insurance – General   |
|     | Energy & Natural Resources – Environmental Conservation/<br>Preservation | Insurance – Health  |
|     | Energy & Natural Resources – General                                     | Insurance – Life  |
|     | Energy & Natural Resources – Oil/Fuel/Gas                                | Insurance – Property & Casualty   |
|     |  | Insurance – Auto  |

- Labor Labor Issues/ Unions
- Labor Pensions/ Retirement
- Labor Prevailing wage/ Minimum Wage
- Labor General
- □ Lobbying Laws and Regulations
- ☐ Media Books, Magazines & Newspapers
- Media First Amendment Press
- 🗌 Media General
- Media Motion Picture/Television/Recorded Music/ Music Production & Distribution
- Media Printing & Publishing
- Miscellaneous Business Advertising/ Public Relations
- Miscellaneous Business General
- Dublic Utilities Cable/Broadband
- **Public Utilities Electric**
- **Public Utilities Gas**
- **Public Utilities General**
- Dublic Utilities Telecommunications
- Public Utilities Water
- □ Real Estate Affordable Housing
- Real Estate Construction
- Real Estate General
- Tax Development Credits
- Tax Exempt Organizations
- 🗌 Tax General
- Tax Personal Income
- Tax Real Property

- 🗌 Tax School
- Tax Corporate
- **Transportation Air Transport**
- Transportation Automotive Industry/ Manufacturers
- Transportation General
- □ Transportation Mass Transit
- □ Transportation Safety
- □ Transportation Trucking
- **Transportation Railroad/Canals**
- □ Veterans Affairs

#### SECTION VIII - LOBBYING ACTIVITIES

| DO YOU HAVE LOBBYING ACTIVITIES TO REPORT? |   |      |   |  |
|--|---|------|---|--|
| Select one:                                | <b>YES</b>  | 🗌 NO | (Skip to section IX - Attestation if 'NO' is selected.) |  |
|  |   |      |   |  |
| LEVEL OF GOVERNMENT LOBBIED                |   |      |   |  |
| Indicate the 'Level                        | Indicate the 'Level of Government Lobbied' during this Bi-Monthly reporting period. |      |   |  |

Municipal Lobbying

#### HOW TO DISCLOSE FOCUS AND PARTIES INFORMATION

State Lobbying

- 1. Indicate the 'Focus Type' (see categories below) of the Identifying Number lobbied.
- 2. For every Focus, indicate the 'Focus Identifying Number' (or a description if there is no number).
- 3. Indicate the '*Type of Lobbying Communication*' for the specified Focus: *Direct Lobbying, Grassroots Lobbying, Both* (*Direct and Grassroots Lobbying*) or '*Monitoring Only*'.
  - If 'Monitoring Only' is selected, you are not required to disclose Parties Lobbied for that Focus Type.
- 4. For every Party reported, identify both the '*Government Body*' (*see categories below*) AND '*Party Name*'. To report additional information related to the 'Party' indicate the:
  - 'Unit', 'Division', OR 'Department' within the agency; OR
  - 'Title' OR 'Name of the Person Lobbied'

Please refer to the comprehensive list of Parties Lobbied on the Commission website.

#### SELECT A 'FOCUS TYPE' FROM THE FOLLOWING CATEGORIES:

• State Bill

Select one:

- State Executive Order
- State Funding
- State Land Use
- State Permits/Licensing
- State Procurement
- State Regulation/Rate-making/Rule
- State Resolution

- State Tribal Compact Agreement -
  - NYS Indian Nations
    - Cayuga Nation
    - St. Regis Mohawk Tribe
    - Oneida Nation of NYOnondaga Nation
    - Seneca Nation of Indians
    - Tuscarora Nation of NY
    - Unkechague Poosepatuck
      - Indian Tribe
    - Shinnecock Indian Nation

- Municipal Bill
- Municipal Executive Order

State/Municipal (Both)

- Municipal Funding
- Municipal Land Use
- Municipal Ordinance
- Municipal Permits/Licensing
- Municipal Procurement
- Municipal Regulation/Rate-making/ Rule
- Municipal Resolution

#### SELECT A 'GOVERNMENT BODY' FROM THE FOLLOWING CATEGORIES:

- A communication sent to entire Senate
- A communication sent to entire Assembly
- A communication sent to entire Legislature
- A Communication sent to all NYC Council Members
- A Communication sent to all [Specify County] Legislators
- Senate Committee
- Assembly Committee
- NYS Assembly
- NYS Senate
- Executive Chamber
- State Agency
- NYS School Districts
- NYC Council Members and County Legislators

- State and Local Public Authorities and Local Development Corporations
- Industrial Development Agency
- Village
- Town
- City
- County
- Improvement/Special Districts
  - County Special District
  - Town Special District
  - Consolidated Health District
  - Fire District
  - Independent Special District

# SECTION VIII - LOBBYING ACTIVITIES (CONTINUED)

| <b>LOBBYING FOCUS</b> | AND PARTIES LOBBIE | <b>D</b> INFORMATION |
|-----------------------|--------------------|----------------------|
| 20221110100000        |                    |                      |

Only enter one Focus Type and Identifying # or Description for bullets 1 and 2. Do not enter multiple Bill numbers. Do not enter Parties Lobbied Information (bullet 4) if 'Monitoring Only' is selected.

| 1. | Focus Type   |
|----|--|
| 2. | Identifying # or Description   |
| 3. | Type of Lobbying Communication (Select one)                                      |
|    | Grassroots Lobbying Direct Lobbying Both (Direct and Grassroots) Monitoring Only |
| 4. | Parties Lobbied Information  |
|    | A. Government Body: Party:   |
|    | a. The Unit, Division, or Department within the agency: OR                       |
|    | b. First Name/Last Name or Title of the Person Lobbied:                          |
|    | B. Government Body: Party:   |
|    | a. The Unit, Division, or Department within the agency: OR                       |
|    | b. First Name/Last Name or Title of the Person Lobbied:                          |
|    |  |

#### LOBBYING FOCUS AND PARTIES LOBBIED INFORMATION

Only enter one Focus Type and Identifying # or Description for bullets 1 and 2. Do not enter multiple Bill numbers. Do not enter Parties Lobbied Information (bullet 4) if 'Monitoring Only' is selected.

| 1. | . Focus Type  |                             |                 |
|----|---|-----------------------------|-----------------|
| 2. | 2. Identifying # or Description                                   |                             |                 |
| 3. | 3. Type of Lobbying Communication (Select one)                    |                             |                 |
|    | Grassroots Lobbying Direct Lobbying B                             | oth (Direct and Grassroots) | Monitoring Only |
| 4. | I. Parties Lobbied Information                                    |                             |                 |
|    | A. Government Body: P   | arty:                       |                 |
|    | a. The Unit, Division, or Department within the agency: <b>OR</b> |                             |                 |
|    | b. First Name/Last Name or Title of the Person Lobbied:           |                             |                 |
|    | B. Government Body: P   | arty:                       |                 |
|    | a. The Unit, Division, or Department within the agency: $OR$      |                             |                 |
|    | b. First Name/Last Name or Title of the Person Lobbied:           |                             |                 |
|    |   |                             |                 |

# SECTION VIII - LOBBYING ACTIVITIES (CONTINUED)

#### LOBBYING FOCUS AND PARTIES LOBBIED INFORMATION

Only enter one Focus Type and Identifying # or Description for bullets 1 and 2. Do not enter multiple Bill numbers. Do not enter Parties Lobbied Information (bullet 4) if 'Monitoring Only' is selected.

| 1. | Focus Type   |
|----|--|
| 2. | Identifying # or Description   |
| 3. | Type of Lobbying Communication (Select one)                                      |
|    | Grassroots Lobbying Direct Lobbying Both (Direct and Grassroots) Monitoring Only |
| 4. | Parties Lobbied Information  |
|    | A. Government Body: Party:   |
|    | a. The Unit, Division, or Department within the agency: OR                       |
|    | b. First Name/Last Name or Title of the Person Lobbied:                          |
|    | B. Government Body: Party:   |
|    | a. The Unit, Division, or Department within the agency: OR                       |
|    | b. First Name/Last Name or Title of the Person Lobbied:                          |
|    |  |

#### LOBBYING FOCUS AND PARTIES LOBBIED INFORMATION

Only enter one Focus Type and Identifying # or Description for bullets 1 and 2. Do not enter multiple Bill numbers. Do not enter Parties Lobbied Information (bullet 4) if 'Monitoring Only' is selected.

| 1. | Focus Type   |
|----|--|
| 2. | Identifying # or Description   |
| 3. | Type of Lobbying Communication (Select one)                                      |
|    | Grassroots Lobbying Direct Lobbying Both (Direct and Grassroots) Monitoring Only |
| 4. | Parties Lobbied Information  |
|    | A. Government Body: Party:   |
|    | a. The Unit, Division, or Department within the agency: OR                       |
|    | b. First Name/Last Name or Title of the Person Lobbied:                          |
|    | B. Government Body: Party:   |
|    | a. The Unit, Division, or Department within the agency: OR                       |
|    | b. First Name/Last Name or Title of the Person Lobbied:                          |
|    |  |

#### **SECTION IX - ATTESTATION**

This Declaration must be signed by the Principal Lobbyist. If the Principal Lobbyist is an Organization, the Chief Administrative Officer of such organization must sign this Declaration. (If the Chief Administrative Officer, for any reason, does not sign, he/she must duly designate another person to sign this Declaration by providing a designation letter. A sample Designation Letter is available on the Commission website.)

#### ATTESTATION

I declare that I am the person listed below and I declare under penalty of perjury that the information contained in this filing is true, correct, and complete to the best of my knowledge and belief.

If I am the Chief Administrative Officer ("CAO") of an organization that is a lobbyist or client, I further acknowledge that I am legally responsible for the veracity, accuracy and timeliness of all filings submitted on behalf of the organization to the Commission on Ethics and Lobbying in Government.

| Signature X | ζ                            | Date                     |  |
|-------------|------------------------------|--------------------------|--|
| Last        |                              | First                    |  |
| Select One: | Chief Administrative Officer | Designee (Attach Letter) |  |

PLEASE NOTE: You may be assessed up to \$25 for each day this report is late.